



QUESTIONNAIRE FOR POLITICALLY EXPOSED PERSONS (PEP)

In the process of establishing a business relationship or executing a transaction with a non-resident customer the Asset Management Company is obligated to apply the respective procedure based on which it is determined whether the customer is a Politically Exposed Person (PEP; in accordance with Art. 32 of the Croatian Act on Money Laundering and Terrorism Financing Prevention).

- *A Politically Exposed Foreign Person is every natural person with a domicile or permanent residence in a foreign country who holds or has held in the previous year (or longer) one of the following offices or positions (this also applies to immediate family members of the PEP or to close personal or professional associates):*
- *immediate family members: spouse or common-law partner, parents, brothers and sisters, children and their spouse or common-law partners;*
- *close personal or professional associate: every natural person who commonly benefits from the assets or from an established business relationship or another form of close business contact with the PEP.*

In order to comply with the provisions of the Croatian Act on Money Laundering and Terrorism Financing Prevention please answer the following questions correctly:

1	Are You in your domicile state/state of permanent residence holding a senior public position (e.g. state president, prime minister, minister, deputy minister (or equivalent))?	YES	NO
2	Are You a member of parliament/member of a legislature?	YES	NO
3	Are You a member of the Supreme Court, Constitutional Court or another high court which passes non-appealable verdicts (except in exceptional cases)?	YES	NO
4	Are You a judge of a financial court or member of the council of the central bank?	YES	NO
5	Are You an ambassador, consul or a high ranked military officer?	YES	NO
6	Are You a member of the managing or supervisory board of (majority) state-owned legal entities?	YES	NO
7	Are You an immediate family member of the person stated under 1-6: 1. spouse or brother or sister 2. common-law partner 3. child or spouse of the child or common-law partner of the child 4. parent	YES YES YES YES	NO NO NO NO
8	Are You a personal or professional associate of the mentioned person(s) who commonly benefits from the assets or from an established business relationship or from another form of close business contact with the upper stated person(s)?	YES	NO
9	Has a time period of at least 12 months expired since the termination of any of the upper mentioned senior public position?	YES	NO
10	If You are a Politically Exposed Person please state: the source of the assets or funds which are or will be the subject of the business relationship or transaction:		

We hereby thank you for your kind assistance in completing this Questionnaire!

By signing this Questionnaire, I hereby confirm that the given data is correct and true.

(first and last name of the person completing the form)

(account number, and Bank)

Place, date: _____

Signature: _____

**Fullfilled by an Asset Management Company's employ
I agree that a business relationship is established with the person:**

(first and last name of the Asset Management Company's executive)

(signature)

(place, date and time)